



# Criticality Classes for Physical Unit Operations

Francis Stoessel, Annik Nanchen, Anne-Florence Tran-Van

STK-Meeting Kempthal 23.-24.09.2025

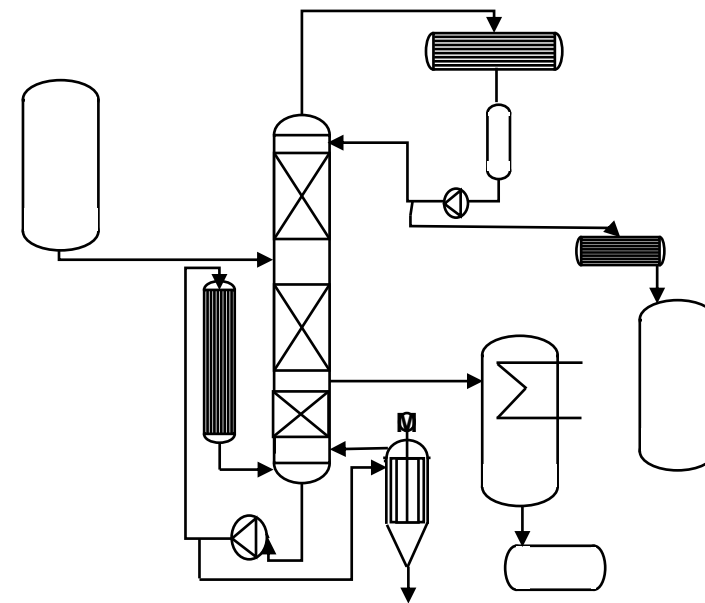
'Credits shall be given to Authors for any use of this materials !'

**Add value.  
Inspire trust.**

# Physical Unit Operations

- Drying
- Distillation, rectification
- Extraction
- Granulation, Coating
- Extrusion
- Filtration, centrifugation
- Melting in heat chambers
- Storage
- Transport
- etc

**No reaction should take place in the process temperature range**

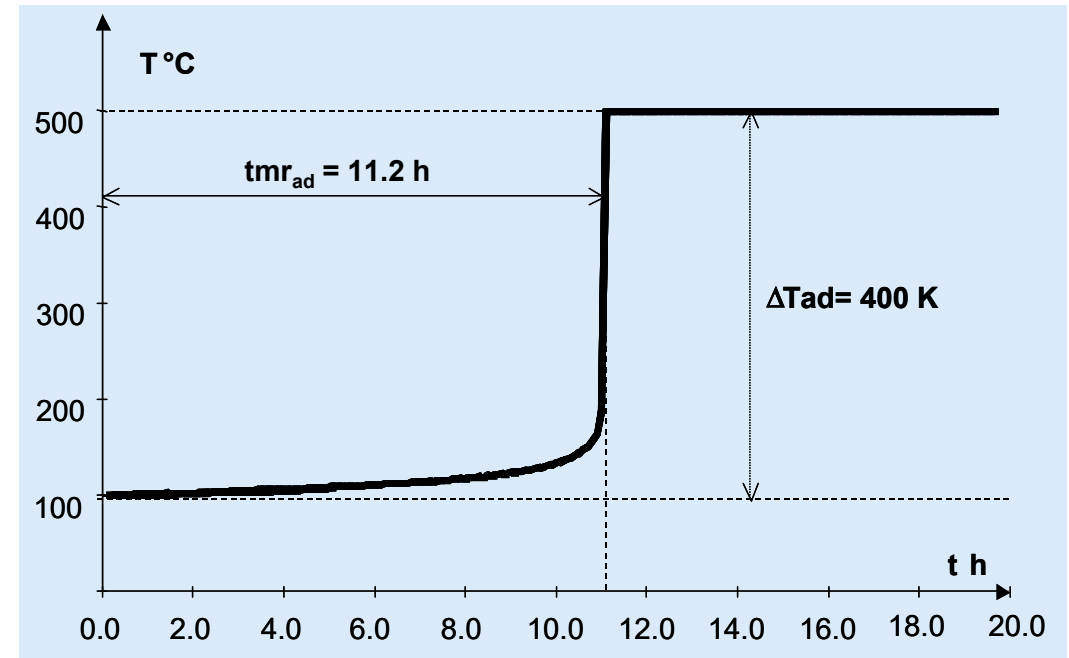


# Thermal Stability

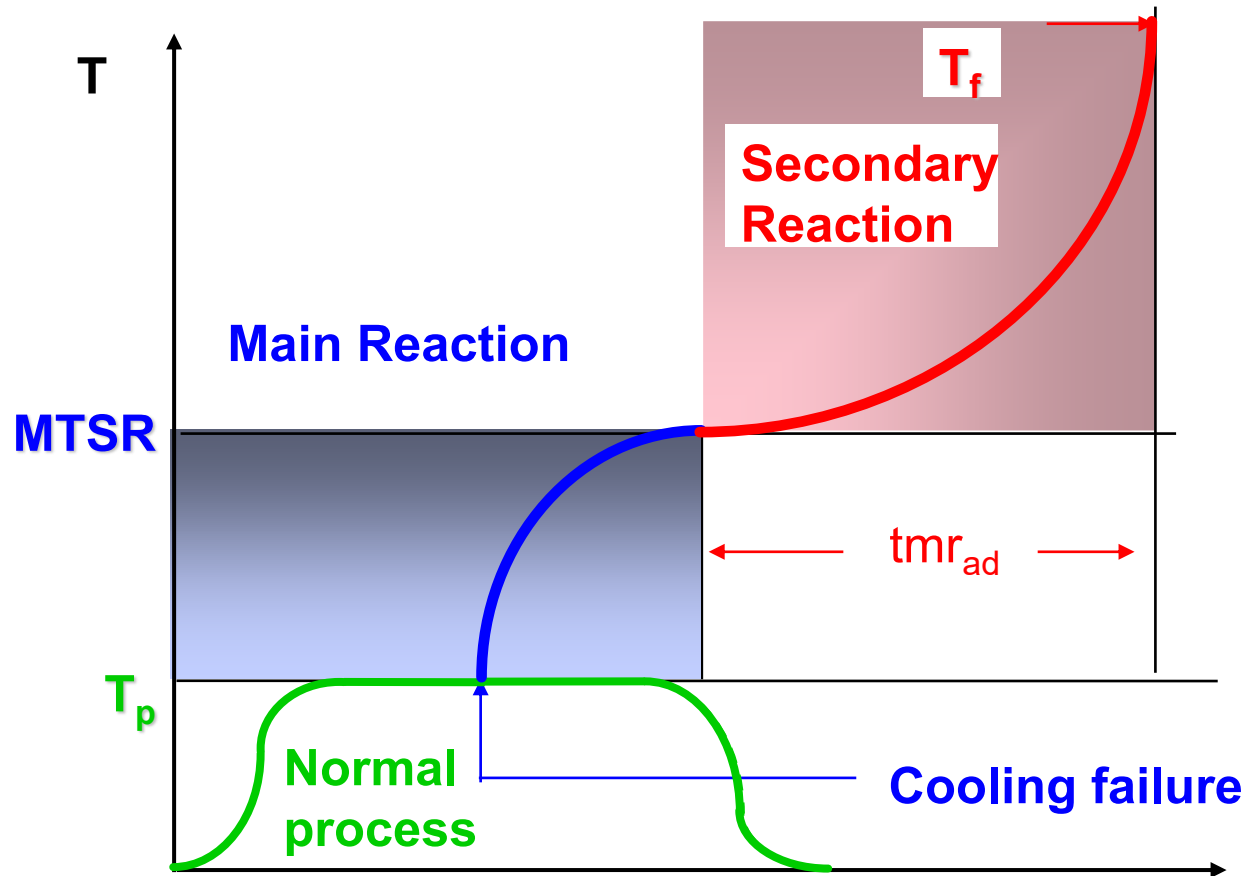
## Characterisation of Secondary Reactions

### Information obtained in the laboratory about secondary reactions

- Energy linked to the consequences
- Time linked to the probability of triggering
- How may this information be used for the risk assessment at large scale?



# Failure Scenario: Cooling Failure Scenario for Reactions



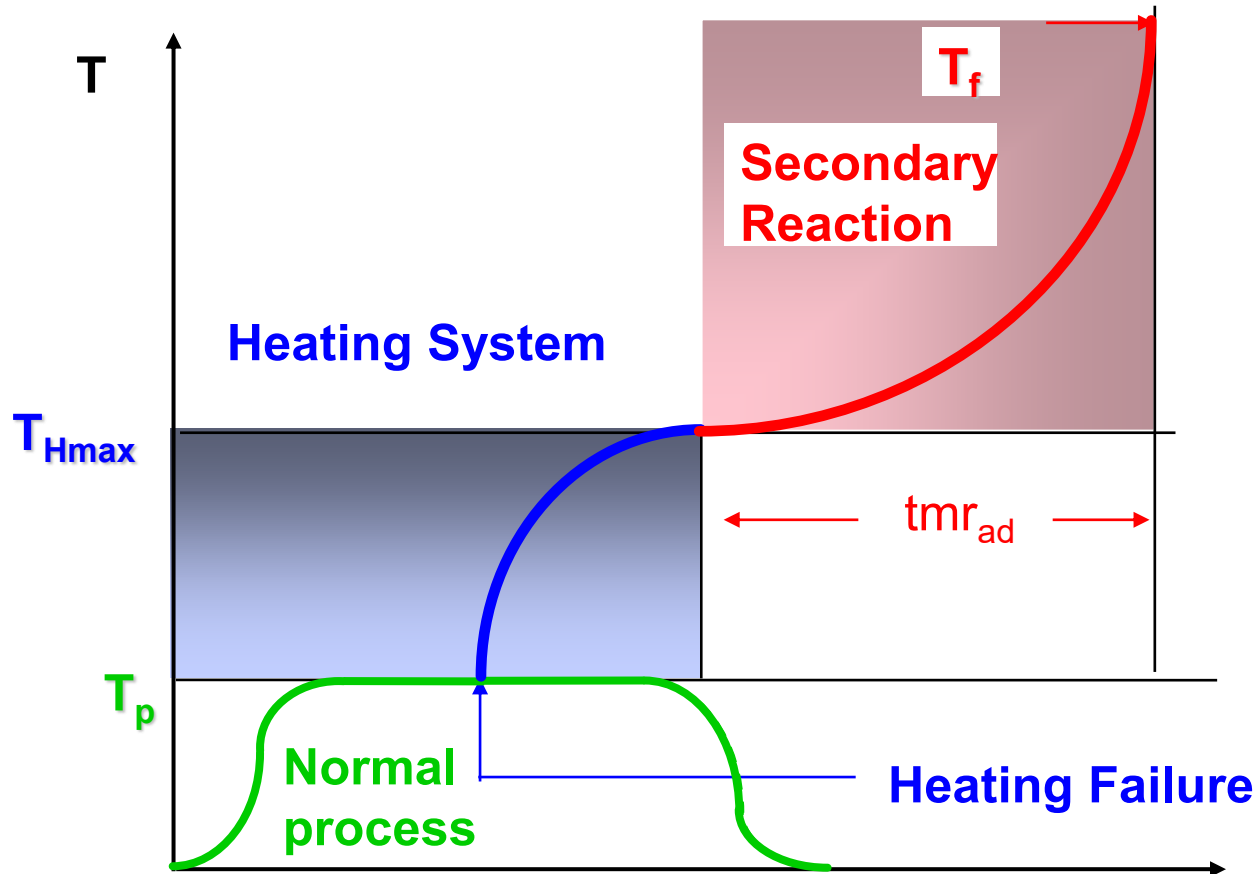
$T_f$ : final temperature or end temperature  $T_{end}$   
(important for evaluation of the consequences)

$t_{mr_{ad}}$  time to ,maximum rate under adiabatic conditions

**MTSR**: Maximal Temperature of the Synthesis Reaction

$T_p$ : process temperature

# Failure Scenario: Heating Failure Scenario for Physical Unit Operations



$T_f$ : final temperature or end temperature  $T_{end}$   
(important for evaluation of the consequences)

$t_{mr_{ad}}$  time to maximum rate under adiabatic conditions

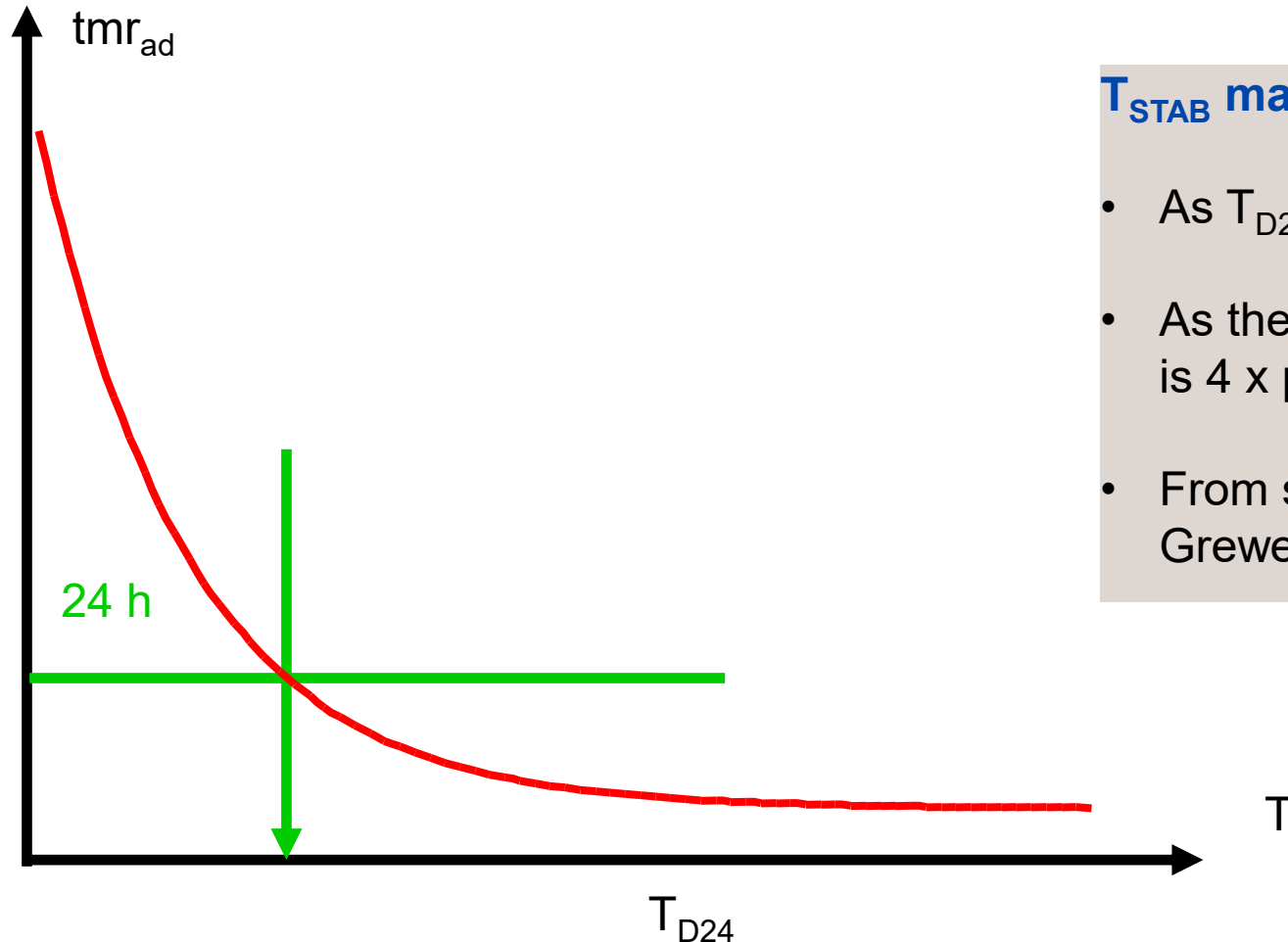
$T_{Hmax}$ : Maximum temperature of heating system

$T_p$ : Process Temperature

# From a time ( $t_{mr_{ad}}$ ) to a Temperature ( $T_{D24}$ )

Upper Temperature Limit for Thermal Stability

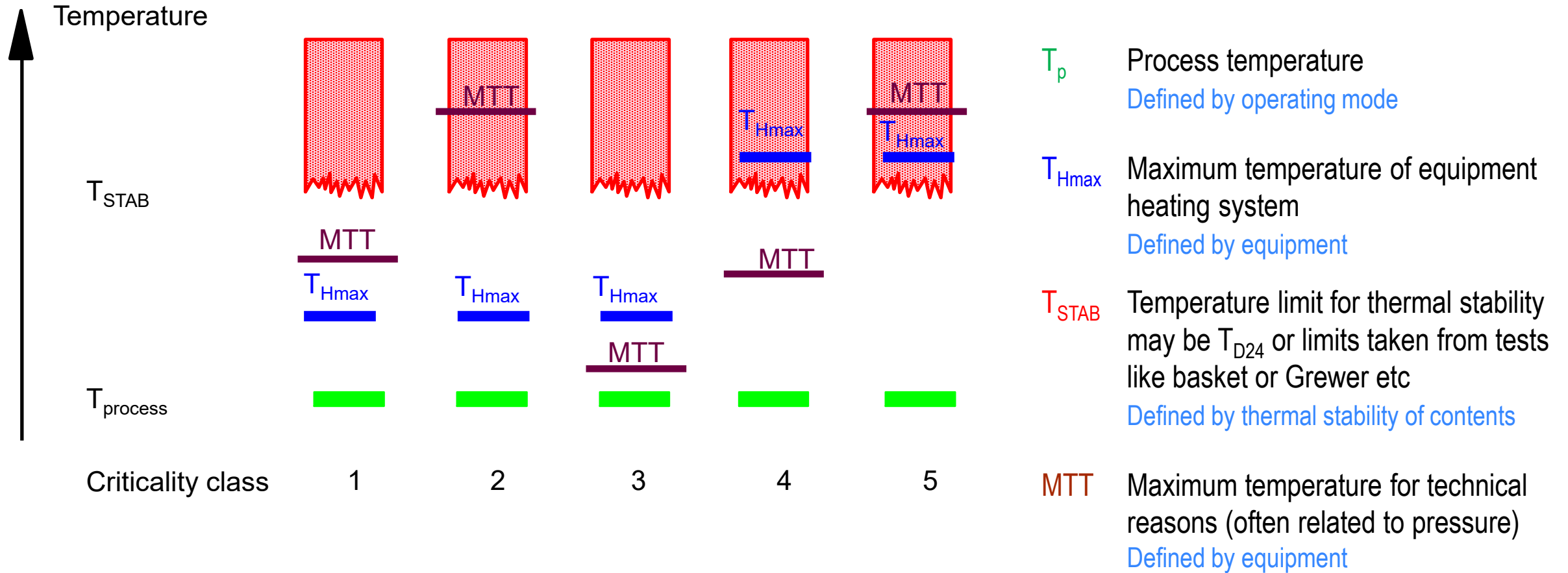
$T_{D24}$  or  $T_{STAB}$



$T_{STAB}$  may be determined:

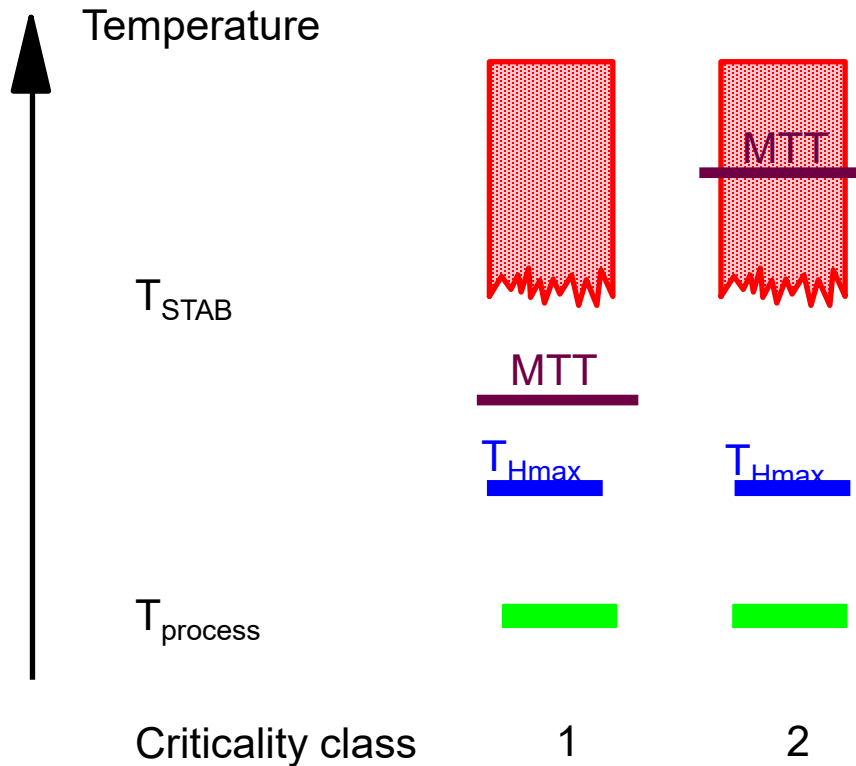
- As  $T_{D24}$  for short time processes
- As the temperature at which the  $t_{mr_{ad}}$  is 4 x process time
- From storage tests like Dewar tests, Basket tests, Grewer oven etc.

# Criticality Classes for Physical Unit Operations



# Criticality Classes for Physical Unit Operations

## Classes 1 and 2



Secondary Reaction is not triggered

Technical limit is not reached

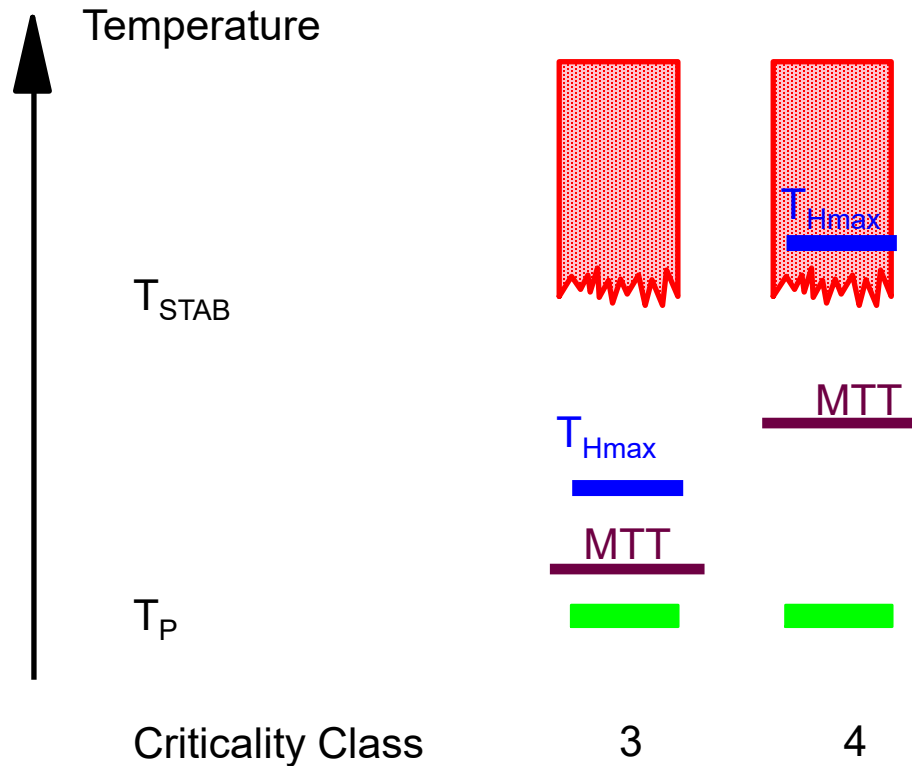
Scenarios are uncritical

No special measure required

Except avoiding heat accumulation (confinement) conditions

# Criticality Classes for Physical Unit Operations

## Classes 3 and 4



Class 3:

Secondary reaction is not triggered

Class 4

Secondary reaction may be triggered

Classes 3 and 4

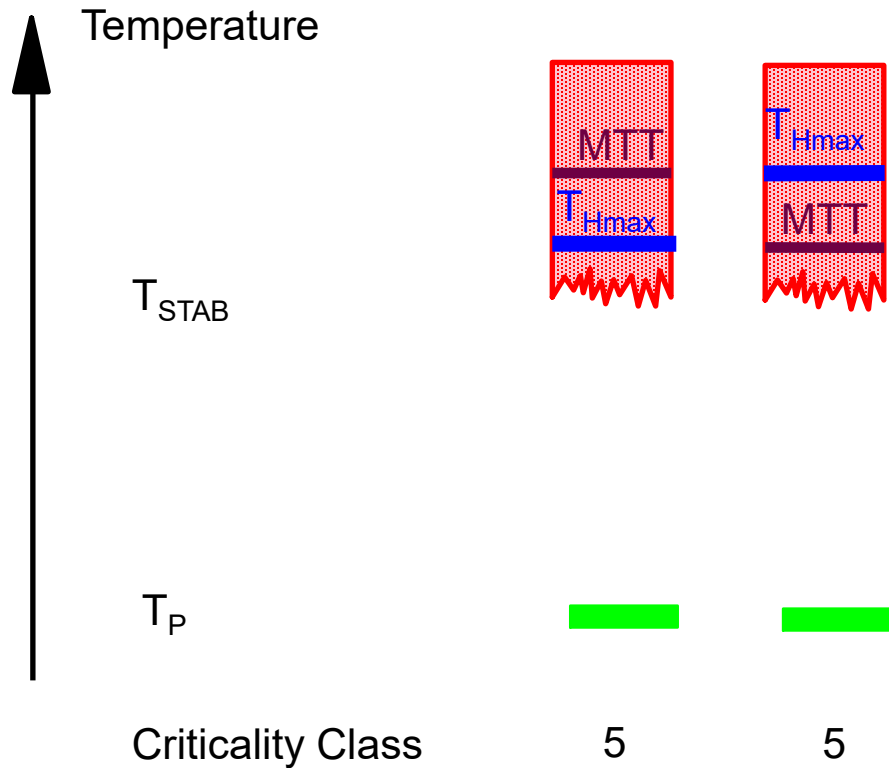
Scenarios are critical

Technical limit may serve as a barrier

It must be sized using the behavior at MTT.

# Criticality Classes for Physical Operations

## Class 5



Secondary reaction is triggered

Scenario is critical

Due to high power at MTT or  $T_{Hmax}$ ,  
the technical limit may NOT serve as a barrier

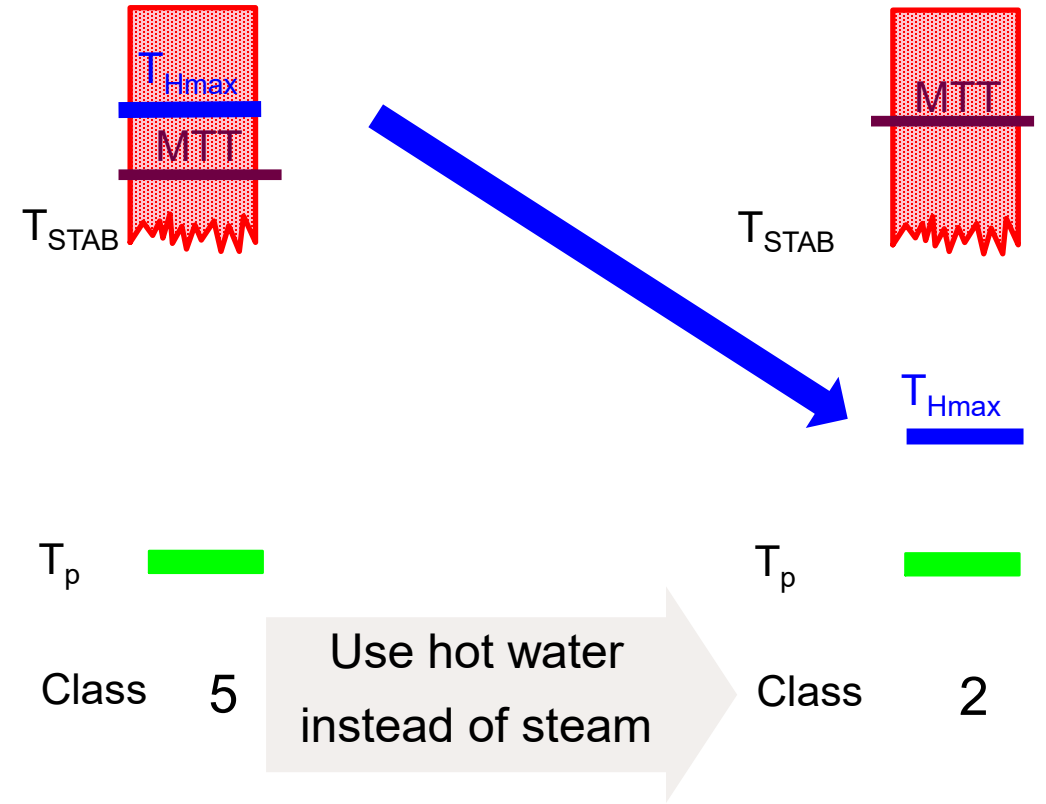
Process or process conditions must be revised

# Example Paddle Dryer

- Process
  - Temperature (product)  $T_p = 50^\circ\text{C}$
  - Duration 12 h Vacuum 300 mbar
- Thermal stability:
  - Dewar test gives DLT  $120^\circ\text{C}$   $T_{\text{STAB}} = 110^\circ\text{C}$
  - Equivalent to  $T_{\text{D24}} - 10^\circ\text{C}$
- Maximum temperature
  - $T_{\text{Hmax}} = 160^\circ\text{C}$  (Steam 5 bar-g)
  - Continuous monitoring of  $T_p$  and P
- Maximum temperature for technical reasons
  - Defined by pressure (MAWP = 1 bar-g)
  - $\text{MTT} = 122^\circ\text{C}$



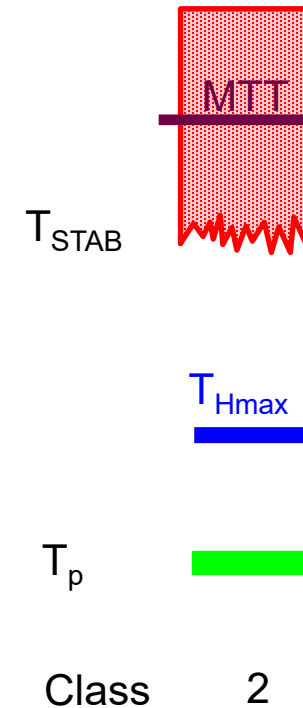
Source Cosmodry



# Example Storage

- Storage in 200 litre drums diameter 60 cm
  - At room temperature.  $T_p = 25^\circ\text{C}$  solution in toluene
  - Duration 2 months
- Thermal stability
  - High energy potential no gas release
  - $t_{mr_{ad}} < 4 \times 60 \text{ d} = 240 \text{ days}$
  - $T_{stab} = 60^\circ\text{C}$
- MTT
  - Governed by pressure 0.5 bar-g
  - $MTT = 88^\circ\text{C}$
- $T_{Hmax} = 50^\circ\text{C}$  High temperature during summer

- Criticality class 2:
  - No special measure required
  - Avoid heat accumulation conditions at temperatures above  $25^\circ\text{C}$



# Conclusions



- Energy input due to **reaction** replaced by equipment **heating system**
- **Cooling** failure replaced by **heating** failure
- Reaction data replaced by technical data of heating system
- $T_{D24}$  may be replaced by a temperature limit for the thermal stability.
  - $T_{D24}$  may be defined by different tests (DSC, Dewar, Basket, Grewer, etc.)
- Criticality classes serve as a guide for defining a protection strategy
- In Classes 3, 4, 5, the **behaviour at MTT** must be determined quantitatively.

# Thank you

## TÜV SÜD Process Safety Basel

Mattenstrasse 22

4058 Basel

**Prof Francis Stoessel**

Principal Expert Process Safety

Email: [francis.stoessel@tuvsud.com](mailto:francis.stoessel@tuvsud.com)

Follow us on:



[tuvsud.com](http://tuvsud.com)

[info.ch@tuvsud.com](mailto:info.ch@tuvsud.com)

